

Consultation draft of the 2009/10 Planning Package

9 October 2008

1 Introduction

This paper is based around the consultation draft of the 2009/10 DHB Planning Package. This paper:

- Highlights a request from the Ministry of Health for feedback on the draft 2009/10 planning package
- Identifies a number of key points when comparing the 2009/10 planning package to the 2008/09 planning package
- Outlines a process for a co-ordinated response to the request for feedback by Waikato District Health Board.

2 Purpose

This paper has been developed to inform internal stakeholders, to ensure they are aware of the planning package and have the opportunity to review and provide feedback.

3 Background / Context

The planning package is released annually by the Ministry of Health. The information in the planning package provides direction to district health boards for development of their District Annual Plan and Statement of Intent. Every three years it also contains directions for development of District Strategic Plans.

The planning package contains the following documents¹:

- Overview
- District Annual Plan Guidelines, Appendices, Question & Answer
- Statement of Intent Guidelines, Appendices, Template
- Operational Policy Framework
- Service Coverage Schedule
- District Health Board reporting requirements

4 Discussion

Request for feedback

The Ministry of Health have requested feedback on the consultation draft of the 2009/10 Planning Package to be at the Ministry by 17 October 2008. The final 2009/10 Planning Package is expected to be distributed to district health boards no later than 5 December 2008.

Comparison between 2008/09 Planning Package and draft 2009/10 Planning Package

The following points should be noted:

¹ Please see appendix for descriptions of the key documents

Election Year Impact

- The District Strategic Plan guidelines development is delayed and is taking into account the election year and the development of the Long Term System Framework. Regional workshops relating to District Strategic Plans and Long Term System Framework are to be held in October 2008.
- The Ministers Letter of Expectations will be developed post election, with the usual process time frame being modified (this is usual received mid to late December).
- Treasury is placing an emphasis on the issue of affordability and the need for health service planning to be undertaken 'within feasible fiscal parameters'.

District Annual Plan

- DHBs will be requested to forward Price Volume Schedules with their DAP templates for 2009/10.
- It is also intended to extend the Price Volume Schedules to include Funder Arm activities. Some district health boards may have initial difficulties in providing this information; however, the Ministry requires this detail in order to better respond to Treasury's Value for Money requirements.

Statement of Intent

- Statement of Intent guidelines have been reviewed and refined, assisted by Office of the Auditor-General and AuditNZ, to meet the needs of both district health boards and the requirements of Government.
- It has been agreed that as district health boards are required to produce two annual accountability documents, it is not expected that Statements of Intent will be prepared for tabling by budget day in May 2009.
- A workshop to discuss the writing of Statements of Intent with central audit representatives and DHBs is to be held in Wellington on 6 November.

Operational Policy Framework

- A review has occurred which focused on a structural change to delete out-of-date information and to ensure that content complies with current and relevant legislation.

Service Coverage Schedule

- Clarification of the Health of Older People schedule. Health of Older People has been extracted from the original Disability Support Services section as a separate schedule.
- Structural change and reorganisation of the Mental Health schedule to reduce duplication and clarify relationships with other services.

Reporting Requirements

- There are no significant proposed changes for 2009/10 Health Targets.
- The Ministry is currently conducting an evaluation of the Health Targets, to be completed by January 2009. The results of this evaluation may influence some of the Health Targets in 2009/10
- Most of the Indicators of District Health Board Performance in 2008/09 have been rolled over into 2009/10.
- One new proposed Indicators of District Health Board Performance is: Primary Care – Primary Health Organisations practices with closed books
- Māori (HKO) Indicators of District Health Board Performances are to be reviewed beginning November 2008 and will continue through 2009. At this stage, the review is not likely to effect the 2009/10 Māori IDPs, which remain extant
- There is a proposed change to the Additional Reporting for 2009/10 where the following new reporting is signalled:
 - Annual summary update on delivery of Te Kokiri: the Mental Health and Addiction Action Plan
 - Status updates - Service changes and management of service risks
 - Self assessment – global trigger tools – relates to the use of "triggers," or clues, to identify adverse events, which DHBs are to plan for and begin using in 2009/10.
 - Emergency Department wait times – baseline establishment for future accountability measure
 - Primary Care – DHB/PHO collaboration plans (quarterly exception reports and annual summary update)
 - Getting Ahead of the Burden of Long -Term Conditions²

Process for Feedback

- Full documents available from:
<http://www.nsfl.health.govt.nz/apps/nsfl.nsf/pagesmh/268>
- Documents will also be placed on the intranet (please note this has yet to occur but will happen shortly):
<http://ourintranet/WDHB/Our+Services/P+and+F/Accountability+Documents.htm>
- Feedback generated by reviewers to be assessed by the EG member responsible for the originating area.
- Feedback that has been reviewed by EG members to be forwarded to **Paul Keesing** (keesingp@waikatodhb.govt.nz), Planning & Funding Division, by **9am 16 October 2007** for collation and review.

² An annual update on the findings of its assessment of the DHB and district's Long-Term Conditions Management capability using the Long-term Conditions Maturity Matrix which is to be provided by the Ministry of Health.

5.0 Sign off

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6.0 Appendix

District Annual Plan

- Sets out in greater detail how the DHB intends to work towards the medium - long term objectives identified in the District Strategic Plan for a particular financial year;
- Outlines how much the DHB intends to spend
- Outlines how the DHB will measure it's success.

Statement of Intent

- Is a legislative requirement as set out in the Crown Entities Act 2004 (s139) and is a key public accountability document, which is tabled in Parliament.
- Outlines to Parliament and the general public the performance that will be delivered during 2009/10 and contains non-financial and financial forecast information for 2010/11 and 2011/12³.

Operational Policy Framework

- Prescribes mandatory requirements and additional guidance in key operational areas.
- The Operational Policy Framework requires adherence to the mandatory components of the Nationwide Service Framework, financial operating environment, funding and management functions including quality, and information requirements and relationships with Māori

Service Coverage Schedule

- Sets out national minima in terms of the range and nature of services to be provided by DHBs.
- For some services the schedule also covers subsidies and user charges as well as specific quality and audit requirements.

District Health Board reporting requirements

- Describes the reporting framework for district health boards. The framework has four levels:
 - **Level 1: reporting on performance improvement in relation to health targets and key annual priorities** - Includes health targets, financial reporting, reporting on service coverage exceptions, reporting on agreed service changes
 - **Level 2: performance reporting in relation to the key dimensions of DHB performance** – Includes indicators of district health board performance, price volume schedule, confirmation style reporting, review follow up
 - **Level 3: reporting in response to issues identified through enquiry or sector analysis** – Reporting on an ad hoc basis
 - **Level 4: reporting on CFA variations and direct contracts**

³ To meet the requirements of section (s) 39 of the New Zealand Public Health and Disability Act 2000 and s 139 (1) of the Crown Entities Act 2004.